### BEFORE THE ARIZONA MEDICAL BOARD

In the Matter of

Case No. MD-19-0860A

IVA A. SMOLENS, M.D.

Holder of License No. 35752
For the Practice of Allopathic Medicine
In the State of Arizona.

ORDER FOR LETTER OF REPRIMAND AND PROBATION; AND CONSENT TO THE SAME

Iva A. Smolens, M.D. ("Respondent") elects to permanently waive any right to a hearing and appeal with respect to this Order for Letter of Reprimand and Probation; admits the jurisdiction of the Arizona Medical Board ("Board"); and consents to the entry of this Order by the Board.

# **FINDINGS OF FACT**

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of license number 35752 for the practice of allopathic medicine in the State of Arizona.
- 3. The Board initiated case number MD-19-0860A after receiving notification of a malpractice settlement regarding Respondent's care and treatment of a 64 year-old female patient ("LE") alleging improper performance of a right upper lobectomy.
- 4. In late 2017, LE presented to Respondent with a history of smoking and two right upper lob masses mildly elevated standardized uptake valve ("SUV") on a PET scan. A work-up proceeded, finding on needle nodule biopsy that at least one was positive for poorly differentiated carcinoma probably a primary adenocarcinoma of the lung. LE had an endobronchial ultrasound ("EBUS") with negative nodal metastases. LE's pulmonary function tests ("PFT") showed an FEV1 of 2.1 liters and a diffusing scan only 30% of predicted.

- 5. On December 7, 2017, Respondent performed a video-assisted thoracoscopic ("VATS") right upper lobectomy and mediastinal lymph node dissection. LE's postoperative course involved respiratory failure and signs of sepsis. On December 15, 2017, LE developed clinical signs of what was thought to be a post-lobectomy pneumonia. A CT scan of the chest was ordered to assess for infiltrates, pneumonia, and to evaluate for pulmonary embolus. The CT scan showed that the right main pulmonary artery ended in a "blind pouch." Respondent was off call, and her covering physician did not note this finding. LE was transferred to the intensive care unit.
- 6. On December 18, 2017, LE went into cardiac arrest and was unable to be resuscitated. The autopsy report stated that in addition to the right upper lobectomy the right main pulmonary artery was "ligated" and the rest of the right lung having inadequate blood supply suffered a necrotizing infarct and associated diffuse alveolar damager of the left lung leading to the patient's demise.
- 7. The standard of care requires a physician to properly perform a right upper lobectomy. Respondent deviated from this standard of care by failing to properly perform a right upper lobectomy.
  - 8. Actual patient harm was identified in that LE expired ten days post-operative.

# **CONCLUSIONS OF LAW**

- a. The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- b. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. § 32-1401(27)(r)("Committing any conduct or practice that is or might be harmful or dangerous to the health of the patient or the public.").

#### **ORDER**

#### IT IS HEREBY ORDERED THAT:

- 1. Respondent is issued a Letter of Reprimand.
- 2. Respondent is placed on Probation with the following terms and conditions:

#### a. Continuing Medical Education

Respondent shall within 6 months of the effective date of this Order obtain no less than 5 hours of Board staff pre-approved Category I Continuing Medical Education ("CME") regarding pulmonary anatomy and video-assisted thoracic surgery. Respondent shall within 30 days of the effective date of this Order submit her request for CME to the Board for pre-approval. Upon completion of the CME, Respondent shall provide Board staff with satisfactory proof of attendance. The CME hours shall be in addition to the hours required for the biennial renewal of medical licensure. The Probation shall terminate upon Respondent's proof of successful completion of the CME.

#### b. Obey All Laws

Respondent shall obey all state, federal and local laws, all rules governing the practice of medicine in Arizona, and remain in full compliance with any court ordered criminal probation, payments and other orders.

3. The Board retains jurisdiction and may initiate new action against Respondent based upon any violation of this Order. A.R.S. § 32-1401(27)(s).

DATED AND EFFECTIVE this 4th day of September, 2020.

ARIZONA MEDICAL BOARD

Patricia E. McSori

**Executive Director** 

# **CONSENT TO ENTRY OF ORDER**

- 1. Respondent has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order ("Order"). Respondent acknowledges she has the right to consult with legal counsel regarding this matter.
- 2. Respondent acknowledges and agrees that this Order is entered into freely and voluntarily and that no promise was made or coercion used to induce such entry.
- 3. By consenting to this Order, Respondent voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Order in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Order.
- 4. The Order is not effective until approved by the Board and signed by its Executive Director.
- 5. All admissions in this Order made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.
- 6. Notwithstanding any language in this Order, this Order does not preclude in any way any other State agency or officer or political subdivision of this State from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to, violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Order makes no representations, implied or otherwise, about the views or intended actions of any other

Iva A. Smolens, M.D. Address of Record

IVA A. SMOLENS, M.D.

EXECUTED COPY of the foregoing mailed this 4th day of September, 2020 to:

state agency or officer or political subdivisions of the State relating to this matter or other matters concerning Respondent.

- 7. Upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke the consent to the entry of the Order. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- 8. This Order is a public record that will be publicly disseminated as a formal disciplinary action of the Board and will be reported to the National Practitioner's Data Bank and on the Board's web site as a disciplinary action.
- 9. If any part of the Order is later declared void or otherwise unenforceable, the remainder of the Order in its entirety shall remain in force and effect.
- 10. If the Board does not adopt this Order, Respondent will not assert as a defense that the Board's consideration of the Order constitutes bias, prejudice, prejudgment or other similar defense.
- 11. Any violation of this Order constitutes unprofessional conduct and may result in disciplinary action. A.R.S. § § 32-1401(27)(s) ("[v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under this chapter.") and 32-1451.

12.	Respondent has	read and u	Indoretande	dha ann ann	
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C	DRIGINAL of the foregoing filed
tł	nis <u>4th</u> day of <u>September</u> , 2020 with:
A	rizona Medical Board
1	740 West Adams, Suite 4000
P	Phoenix, Arizona 85007
围	Michalle Robus  board staff